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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John C. Hiatt, Jr., et al.
Appl. No.: 10/017,040
Conf. No.:
Filed: December 6, 2001
Title: DELAYED START FINANCIAL INSTRUMENT AND METHOD FOR
CONVERTING DELAYED START FINANCIAL INSTRUMENT TO A
STANDARD OPTION
Art Unit: 2161
Examiner:
Docket No.: 113609-002

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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PRELIMINARY AMENDMENT

Sir:

Please amend the above-identified patent application as follows:

Amendments to the Specification begin on page 2 of this paper.

Remarks begin on page 3 of this paper.

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